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PATENT APPLICATION  
Docket No.: 45687-00036  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: Christian GEHRMANN et al.

For: METHOD AND ARRANGEMENT IN A COMMUNICATION NETWORK

BOX PATENT APPLICATION  
Commissioner of Patents  
Washington, D.C. 20231

<p>CERTIFICATE OF MAILING BY EXPRESS MAIL</p> <p>"EXPRESS MAIL" Mailing Label No. EL524957673US</p> <p>Date of Deposit: OCTOBER <u>19</u>, 2000</p> <p>I hereby certify that the paper(s) and/or fee(s) listed below are attached hereto and are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C. 20231</p> <p>Type or Print Name: DOROTHY MAC KINNON</p> <p><i>Dorothy Mac Kinnon</i></p> <p>Signature</p>
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Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find the following:

1. (XX) The specification of the above-referenced patent application is enclosed herewith (22 page(s) including claim(s) and Abstract).
2. (XX) 5 sheet(s) of:  
   informal drawing(s) is (are) enclosed herewith.  
  X   formal drawing(s) is (are) enclosed herewith.

3. ( ) This application is a:  
   Continuation  
   Divisional  
   Continuation-In-Part  
of prior copending parent application  
Serial No.            filed on           , now pending.  
Please amend the application to insert the following line in the beginning of the specification:  
--This application is a Continuation of prior application Serial No.            filed on           , now pending.--

In the event that a petition to extend time under 37 CFR 1.136 is necessary in the parent application to maintain copendency for this application, a petition for an extension of the necessary time to maintain copendency is hereby requested for the parent application and the Commissioner is hereby authorized to debit our Account Number 10-0447 for the necessary fees.

- [illegible]

X Checks in the amount of \$1304.00 and \$40.00 are enclosed herewith. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0447.

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- Dallas2 724537 v 1, 45687.00001

— A copy of the subsequently executed oath(s) or declaration(s) filed by the inventor(s) or legal representative(s) that have subsequently joined in the prior application.

6. (X ) The power of attorney for this application:  
X is appointed in the newly executed Oath or Declaration submitted herewith.  
— is appointed by the power of attorney enclosed herewith.  
— remains the same as originally in the parent application.  
— was changed during the prosecution of the parent application and a copy of the change in the power of attorney is enclosed herewith.

7. (XX) The correspondence address for this application shall be:  
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3200 Fountain Place  
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X which is a new correspondence address or a change therein.  
— which is the same as originally in the parent application.  
— which is the change in the correspondence address that was filed during the prosecution of the parent application.

8. (XX) Priority is hereby claimed under 35 USC 119 and 172 to the following foreign applications:

Country	Serial No.	Date
<u>EPO</u>	<u>99850158.9</u>	<u>Oct. 27, 1999</u>
_____	_____	_____
_____	_____	_____

and:

- A certified copy of each application is enclosed herewith.  
— A certified copy of each application was filed in prior application Serial No. \_\_\_\_\_.

9. ( ) A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:  
— is enclosed herewith.  
— was filed in parent application Serial No. \_\_\_\_\_, and such status remains unchanged and is requested for this application.

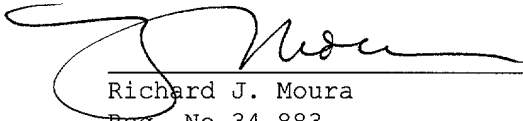
10. ( ) A preliminary amendment is enclosed herewith.
11. (XX) An Information Disclosure Statement with Modified PTO Form 1449 and a copy of the cited references are enclosed herewith.
12. (XX) An Assignment of the invention to Telefonaktiebolaget LM Ericsson with cover sheet and recordation fee is enclosed herewith for recordation by the Assignment Branch.
13. (XX) The Commissioner is hereby authorized to charge payment, or to credit any overpayment, of the following fees associated with this

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- ☒ Any patent application filing fees under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee under 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

14. ( ) Other (specify): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.
15. (XX) Confirmation Postcard.

Respectfully submitted,

  
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## METHOD AND ARRANGEMENT IN A COMMUNICATION NETWORK

### 5 FIELD OF INVENTION

The present invention relates to the field of communication networks and more specifically to an ad hoc communication network and a method for establishing security in an ad hoc network.

### 10 DESCRIPTION OF RELATED ART

The fast growth of open networks with easy access has raised many security problems. Several security solutions for public networks like the Internet have appeared. Security is a problem in all kinds of open networks both wired and wireless. Information transmitted over the air is extremely vulnerable. Today there exist solutions that are built upon some type of so called *public key infrastructure* (PKI). A public key infrastructure is a system used to distribute and check public keys that can be used to authenticate users, exchange session keys, sign information or encrypt information.

20 In a PKI system, two corresponding (also called asymmetric) keys are used in connection with protecting information. Information, which is encrypted with one of the two keys, can be decrypted only with the other key. In some PKI systems either of the two keys can be used to encrypt and the other to decrypt. In other systems, one key must be used only for encryption and the other for decryption.

25 One important feature of PKI systems is that it is computationally unfeasible to use knowledge of one of the keys to deduce the other key. In a typical PKI system, each of the systems possesses a set of two such keys. One of the keys is maintained private while the other is freely published. If a sender encrypts a message with the recipient's public key, only the intended recipient can decrypt the

30 message, since only the recipient is in possession of the private key corresponding to the published public key. If the sender, before performing the above

encryption, first encrypts the message with the senders private key, the recipient, upon performing first a decryption, using the recipient's private key, then a decryption on the result, using the sender's public key, is assured not only of privacy but of authentication since only the sender could have encrypted a message such that the sender's public key successfully decrypts it. In one digital signature scheme, one-way hash is first applied to a message and the hash of the message is encrypted with the sender's private key.

A PKI distributes one or several public keys and determine whether a certain public key can be trusted for certain usage or not. A piece of digitally signed information is often called a certificate. Certificates are the basis upon which PKIs are built.

The degree of confidence that the recipient has in the source of a message depends on the degree of the recipient's confidence that the sender's public key corresponds to a private key that was possessed only by the sender. In many current systems, a number of generally well trusted certification authorities have been established to provide this degree of confidence.

A common certificate format is Standard X.509 (developed by the International Standards Organisation (ISO) and the Comité Consultatif Internationale Telegraphique et Telephonique (CCITT)). Such a certificate may, e.g., include a public key, the name of subject who possesses or is associated with the public key, an expiration date, all of which are digitally signed by a trusted party. The digital signature may be provided e.g., according to the digital signature standard (DSS) (National Institute of Standards and Technology (NIST)). Typically a digital signature involves applying a one-way hash and then encrypting with the private key of, in this case, the certification authority. Such digital signature is provided using the private key of the trusted party which, in turn, is authenticated using the trusted party's certificate signed by yet another trusted party, so that there may be a multi-level hierarchy of trusted parties.

Another certificate format is Pretty Good Privacy (PGP) developed by P. Zimmermann and described in Internet Engineering Task Force (IETF) Open

PGP Specification. PGP provides a way to encrypt and decrypt, sign data and exchange keys. Thus it is more than just a PKI. However, the main idea with PGP is that no strict PKI is needed. Instead the PGP users themselves create and extend the PKI they need. This is done by certifying other users public keys, i.e., signing trusted public keys with their own secret key. In this way a "web of trust" is created. A particular key may have several different user IDs. Typically a user ID is an email address. If a revocation signature follows a key, the key is revoked. A user certifies another users key by signing it with one of the keys of his own, which has signing capability. When signing another key, different trust levels can be set, i.e., the amount of confidence the signer has in the signed key and user ID.

Today, so-called ad hoc networks are used more and more frequently. An ad hoc network is established temporary for a special purpose. There is no fixed infrastructure, the nodes are the network. The nodes within the network are often mobile and using radio links. An ad hoc network might constitute dynamic wide area connectivity in situations such as military operations, rescue and recovery operations, and remote construction sites. An ad hoc network might also constitute local area connectivity in situations such as temporary conference sites, home networks and robot networks. An ad hoc network might also constitute personal area networks in situations such as interconnected accessories, ad hoc conference table and games. The nodes might consist of e.g. mobile phones, lap tops, television sets, washing machines. In some situations like in military operations or business conferences when the communication between the nodes comprises secrets, it is very important that a sender of a message can trust that the receiver really is the intended receiver.

In the previous examples, bindings between public keys and names or authorisation are described. Several of these certificate solutions exist in different systems. However, it is not yet described how different certificates needed for different kinds of purposes are obtained. In the case of ordinary X.509 type of

PKI with hierarchical Certificate Authority (CA) structures, finding the right certificate is done using some central on-line server or by direct transmission of the certificate at connection set up. When using PGP either the desired public key is stored locally on a machine or the device has to make a connection to a central PGP server in order to find the desired public key. This works if it is possible for entities that need some type of security relation to have on-line connections to some particular servers. This is not the case for ad hoc networks. Ad hoc networks are created on the fly between entities that happen to be at the same physical location.

Therefore, what is further needed is a mechanism for checking if different nodes in an ad hoc network share a trust relation and for creating trust among a certain set of nodes without any pre-defined relations.

The problem of how to distribute trust using public keys in ad hoc networks is addressed in this invention. Still the existing PKIs provide a basis upon which solution also for ad hoc network can be built.

## SUMMARY OF THE INVENTION

The present invention relates to the requirement of security in an ad hoc network. More particularly it relates to the problem within ad hoc networks, not having on-line connections to a particular server for getting desired public keys or certificates, required to create trust relations.

Accordingly, it is an object of the present invention to unravel the above-mentioned problem.



The aforesaid problem are solved by means of a method for finding possible trust relations between nodes within the ad hoc network and share them with other nodes within the ad hoc network.

- 5 The following scenario of establishing security in an ad hoc network describes the inventive concept of the present invention.

Within an ad hoc communication network, some of the nodes have a mutual trust relation to each other, thus constituting a trust group. A node within the network is being a candidate node for joining the trust group. An X-node is identified, being a member of a trust group and having a trust relation with the candidate node. The X-node distributes trust relations between the members of the trust group and the candidate node.

15 An advantage of the present invention is it is possible to achieve the necessary security associations needed for distributing and sharing information among a group of users that happens to be at the same physical location. There are a large amount of applications that fits in to this scenario. Among those can be mentioned people from different companies or organisations that gather in a conference room can share documents with the meeting members.

Another advantage of the present invention is that the number of manually created trust relations between members in an ad hoc communication network is decreased.

25 Further scope of applicability of the present invention will become apparent from the detailed description given hereinafter. However, it should be understood that the detailed description and specific examples, while indicating preferred embodiments of the invention, are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a scenario where a single node establishes trust with an existing trust group within a communication network.

5 Figure 2 shows a scenario where trust is established in an ad hoc communication network.

Figure 3 shows a scenario where trust is established in an ad hoc communication network.

10 Figure 4 shows a scenario where two trust groups within an ad hoc communication network are merged.

Figure 5 shows a scenario where two trust groups within an ad hoc communication network are merged.

Figure 6 shows a scenario where two trust groups within an ad hoc communication network are merged.

15 Figure 7 shows a scenario where two trust groups within an ad hoc communication network are merged.

## 20 DESCRIPTION OF PREFERRED EMBODIMENTS

The ad hoc communication network according to the invention constitutes e.g. a bluetooth network. The ad hoc network comprises nodes constituting e.g., laptops and mobile phones, each node comprising a receiver and a computer, the computer comprising a processor and a memory. The nodes are interconnected  
25 via communication links.

Figure 1 shows a possible scenario of the present invention in which a single node 101 is added to an existing trust group 102. The trust group 102 comprises nodes 103-105. All the nodes 103-105 in the trust group 102 have mutual trust  
30 relations with each other, the trust relations being created with trusted public keys. Thus each node 103-105 in the trust group 102 has the trusted public keys of all

the other nodes 103-105 within the trust group 102. The trusted public keys are e.g. used to sign messages to be sent between trusted nodes. The single node 101 and the trust group constitute an ad hoc communication network 106. According to the invention all nodes 101, 103-105 have authority to delegate trust to other nodes that they trust within the network. The single node 101 would like to join the trust group 102 and the single node is from now on called the candidate node 101.

Either the candidate node 101 sends a broadcast message to all the nodes 103-105 within the trust group or it unicasts message to a special look up server where all the nodes 103-105 can obtain the message. The message comprises the public key that the candidate node 101 wants to use. The message might comprise a set of public keys that the candidate node 101 wants to use and possible certificate/s certifying the public key/s.

Each node 103-105 within the trust group 102 obtains the public key of the candidate node 101, and checks if it trusts the public key of the candidate node.

A node 103 within the trust group that trusts the public key of the candidate node 101 is identified, a so-called X-node 103. The X-node,

- sends a signed message comprising all the trusted keys of the nodes 103-105 within the trust group 102 to the candidate node 101, and
- signs the public key of the candidate node 101 and sends a message comprising the key together with the signature to all the other nodes 104, 105 within the trust group 102.

If none of the nodes 103-105 within the trust group 102 trusts the candidate node a trust relation has to be manually created with an arbitrary node 105 within the trust group 102. This node 105 thus constitutes an X-node. A manual creation of trust relation between two nodes can be performed in different ways. In one way the two nodes enter their pin codes and then exchange public keys using an

authenticated channel. The manual creation of trust relations results in that each node obtains a trusted public key from the other party.

After the manual creation of trust, the X-node 105

- 5    – sends a signed message comprising all the trusted keys of the nodes 103-105 within the trust group 102 to the candidate node 101, and
- signs the public key of the candidate node 101 and sends a message comprising the key together with the signature to all the other nodes 103, 104 within the trust group 102.

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Figure 2 shows another scenario of the present invention. In this scenario an ad hoc communication network 201 is formed. The trust groups 202, 203, 204 and 205 within the ad hoc network are used to create additional trust relations within the network. The trust relations are created with signed public keys. The ad hoc network comprises nodes A-M. In this embodiment, each of the nodes A-M constitutes a node being a candidate for joining a secure ad hoc network i.e., a trust group wherein all nodes A-M have mutual trust relations.

15    The nodes A, B, C, D and E have mutual trust relations and constitute a trust group 202.

20    The nodes D, E, G, J and K have mutual trust relations and constitute a trust group 203.

25    The nodes A, E, F and I have mutual trust relations and constitute a trust group 204.

     The nodes H and M have mutual trust relations and constitute a trust group 205.  
     The node L has no trust relations to any of the other nodes within the network.

As shown in figure 2, the node E belongs to three trust groups 202, 203 and 204.

30    The node D and E belong to two trust groups, 202 and 203. The nodes A and E belong to two trust groups 202 and 204.

According to the invention all nodes A-M have authority to delegate trust to other nodes that they trust, within the network. 201.

- 5 Each node A-M within the ad hoc network 201 sends a broadcast message to all the nodes A-M within the ad hoc network 201 or a unicast message to a special look up server where all the nodes A-M can obtain the message. The message comprises the public key that the candidate node A-M wants to use. The message might comprise a set of public keys that the candidate node wants to use and  
10 possible certificate/s certifying the public key/s.

- Each of the nodes A-M obtains the public keys of all the other nodes A-M, either they are trusted or untrusted. Each node A-M then creates a list of its trusted nodes and their corresponding keys. E.g. node A which belongs to trust group  
15 202 trusts the nodes B, C, D and E.

- In this scenario, one node A is decided to act as a server node A. Each of the nodes B-M, sends a registration message to the server node A comprising its public key and the list of its trusted nodes and their corresponding public keys.  
20

Using the obtained information the server node A identifies all the nodes A-M and the trust groups 202-205 within the ad hoc network.

- Server node A might find that some nodes or some trust groups are isolated, i.e.  
25 neither having a trust relation with the server node A nor having a trust relation with any of the nodes that A has a trust relation with. In this embodiment that goes for node L and trust group 205 comprising the nodes H and M.

- In that case server node A asks the node L, to manually create a trust relation with  
30 the server node A. Server node further A asks one node H in that trust group 205, to manually create a trust relation with the server node A. This results in two more

trust groups and is illustrated in **figure 3**. The nodes A and L constitutes trust group 301 and the nodes A and H constitutes trust group 302.

The server node A classifies all the nodes within the ad hoc network as being nodes that the server node A trusts, nodes B, C, D, E, F, I, H and L, i.e. server-trusted nodes, or as being nodes that server node A not trust, nodes G, J, K and M, i.e. server-untrusted nodes. The server node A then makes a list comprising the server-untrusted nodes, the so-called untrust-list.

A server-trusted node trusting a server-untrusted node constitutes a so-called Y-node. The server node A identifies as many Y-nodes as required for distributing trust relations to all or as many as possible of the server-untrusted nodes. I.e. server node A identifies node D, having trust relations with nodes G, K and J, and node H having a trust relation with node M. Thus node D and node H can distribute trust relations between all the server-untrusted nodes and server node A according to the following process:

The server node A sends a message to the identified Y-nodes, the message comprising,

- the untrust-list comprising the nodes G, J, K and M and their corresponding public keys, and
- a request of distributing as many trust relations as possible between server node A and server-untrusted nodes.

An Y-node obtains the message and checks, which of the keys it trusts, i.e. which of the server-untrusted nodes G, J, K and M the Y-node trusts.

The identified Y-nodes then each perform the following steps 1-3 for each of the nodes that the respective Y-node trusts. In this case the Y-node D performs the steps for each of the nodes G, J and K and Y-node H performs the steps for node M.

1. The Y-node signs the public key of server node A and sends it to the node that the Y-node trusts, e.g. Node D signs server node A's key and sends it to node G,
2. The Y-node signs the public key of the node that the Y-node trusts and sends  
5 it to server node A, e.g. Node D signs node G's key and sends it to server node A.
3. Server node A reclassifies the node that the Y-node trust, and that the server node A now trusts, as now being a server-trusted node, and the untrust-list is reduced with said node, e.g. server node A reclassifies node G as being a server  
10 trusted node and the untrust-list is reduced to J, K, and M.

The distribution of trust relations is now completed and the untrust-list is empty. Server node A has collected signed public keys from all nodes B-M within the ad hoc network 201 and sends a message to all nodes B-M comprising server node  
15 A's collected signed public keys from all the nodes B-M within the ad hoc network.

The nodes A-M within the ad hoc communication network 201 now have mutual trust relations and a secure ad hoc network is established.  
20

**Figure 4** shows yet another scenario of the present invention. In this scenario an ad hoc network 401 comprises two trust groups 402 and 403 which shall be merged to one trust group constituting a secure ad hoc network. The first trust  
25 group 402 comprises a set of nodes, N, O, P, Q and R, all having mutual trust relations. The second trust group 403 comprises a set of nodes, S, T, U, V and W, all having mutual trust relations and which all are candidate nodes for joining the first trust group 402. The trust relations are created with trusted public keys. A node P is decided to act as a server node P within the first trust group 402 and a  
30 candidate node S is decided to act as a server node S within the second trust

group. According to the invention, the nodes N-W are authorised to delegate trust relations to other nodes within the network that it trusts.

Server node S sends a message, comprising a list of all candidate nodes S, T, U, V and W within the second trust group 403 and their corresponding public keys, to server node P. First server node P checks if it trusts any of the obtained keys, i.e. if it has trust relations to any of the candidate nodes S, T, U, V and W. First server node P, then classifies the candidate nodes as being first server-trusted nodes or as being first server-untrusted node, in this case P-trusted or P-untrusted.

If the classification results in at least one first server-trusted node, a scenario comes up as illustrated in **figure 5**. In this scenario first server node P has a trust relation to the node W and first server node P sends a message to second server node S. The message comprises

- a list of all nodes N, O, P, Q and R within the first trust group 402 and their corresponding public keys, and
- a list of first server-trusted nodes, which in this case is the P-trusted node W, and its corresponding public key.

Second server node S obtains the message and signs it and forwards it to node W.

Node W receives the signed message and checks the signature of the message. If node W trusts the signature, node W

- signs the received public keys of the nodes N, O, P, Q and R within the first trust group 402,
- sends a signed message comprising the signed public keys of the nodes N, O, P, Q and R within the first trust group 402 to all candidate nodes S, T, U and V within the second network,
- sends a signed message comprising all trusted public keys of the candidate nodes S, T, U, V and W to first server node P.



First server node P receives the message and checks the signature of the message. If it is valid, first server node P signs the public keys of the candidate nodes S, T, U, V and W within the second trust group 403 and sends them in a signed  
 5 message to all nodes N, O, Q and R.

The nodes N-W within the ad hoc network 102 now have mutual trust relations and a secure ad hoc communication network is established.

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In another scenario, shown in **figure 6**, the classification is resulting in no first server-trusted node i.e. a P-trusted node. This means that first server node P has no trust relation with any of the candidate nodes S, T, U, V and W. Server node P then asks the other nodes N, O, Q and R within the first trust group 402, one by  
 15 one, until sever node P obtains a positive answer of the question, if they have a trust relation with any of the candidate nodes S, T, U, V and W, within the second trust group 403.

In this case, node N has no such trust relation, the query is forwarded to node O, which has not got such trust relation either. The query is forwarded to node Q,  
 20 which has a trust relation with node V in the second trust group, and now the procedure of distributing trust can start.

Node Q sends a signed message to second server node S. The message comprises:  
 25 – a list of all nodes N, O, P, Q and R within the first trust group 402 and their corresponding public keys,  
 – a list of the nodes that node Q trusts, which in this case is the node V, and its corresponding public key.

30 Second server node S obtains the message and forwards it to node V.

Node V receives the signed message and checks the signature of the message. If node V trusts the signature, it signs the received public keys of the nodes N, O, P, Q and R within the first trust group 402. Node V then sends a signed message comprising the signed public keys of the nodes N, O, P, Q and R within the first trust group 402 to all candidate nodes S, T, U and W within the second network. Node V sends a signed message comprising all trusted public keys of the candidate nodes S, T, U, V and W to node Q.

Node Q receives the message and checks the signature of the message. If it is valid, node Q signs the public keys of the candidate nodes S, T, U, V and W within the second trust group 403 and sends the keys in a signed message to the other nodes N, O, P and R within the first trust group 402.

The nodes N-W within the ad hoc network 102 now have mutual trust relations and a secure ad hoc communication network is established.

In yet another scenario, none of the nodes N, O, P, Q and R, within the first trust group 402, have a trust relation with any of the candidate nodes S, T, U, V and W, within the second trust group 403. In this case a message is returned to first server node P asking node P to manually create a trust relation with the second server node S. This scenario is illustrated in figure 7. First server node P and second server node S now constitute a trust group 701.

First server node P sends a message to second server node S. The message comprises a list of all nodes N, O, P, Q and R, within the first trust group 402, and their corresponding public keys.

Second server node S

— signs the received public keys of the nodes N, O, P, Q and R within the first trust group 402,

- sends a signed message comprising the signed public keys of the nodes N, O, P, Q and R within the first trust group 402 to all candidate nodes S, T, U and V within the second network,
- sends a signed message comprising all trusted public keys of the candidate  
5 nodes S, T, U, V and W to first server node P.

First server node P receives the message and checks the signature of the message. If it is valid, first server node P signs the public keys of the candidate nodes S, T, U, V and W within the second trust group 403 and sends them in a signed  
10 message to all nodes N, O, Q and R.

The nodes N-W within the ad hoc communication network 102 are now having mutual trust relations and a secure ad hoc network is established.

## CLAIMS

1. Method for establishing security in an ad hoc communication network (106),  
5 the ad hoc network (106) comprising a set of communication nodes (101, 103-105) whereof at least two of the nodes (103-105) having a mutual trust relation and thus constituting a trust group (102), the trust relations being created with public keys, and at least one additional node (101), being a candidate for joining the trust group (102) within the ad hoc network (106), **characterised**  
10 by the nodes having authority to delegate trust to nodes they trust, the method comprising the steps of
  - a) identifying a node (103) within the trust group having a trust relation with the candidate node (101), a so-called X-node (103);
  - b) distributing trust relations between all the members in the trust group  
15 (102) and the candidate node (101) by means of the X-node (103).
2. The method of claim 1, **characterised** by comprising the further step to be taken before step a), the candidate node (101) sending a message, comprising its public key, to all nodes (103-105) within the network.  
20
3. The method of any of the previous claims, **characterised** in that the ad hoc network (106) comprises a single trust group (102), and a single candidate node (101), wherein step b), implies that the X-node (103) sends a signed message, comprising a list of the nodes (104, 105) that the X-node (103) trusts  
25 within the ad hoc network (106), and all their corresponding public keys, to the candidate node (101).
4. The method according to any of the previous claims, **characterised** in that step b) further implies that the X-node (103) signs the candidate node's (101)  
30 public key.

5. The method according to the previous claim, **characterised** in that step b) further implies, the X-node (103), sends a message, comprising the candidate node's (101) signed public key, to the nodes (104-105) within the trust group (102).

5

6. The method according to claim 2 **characterised** in that the ad hoc network (201) comprises a set of nodes (A-M) comprising several trust groups (202-205), and all nodes (A-M) being candidates for joining all trust groups, within the ad hoc network, that they are not already a member of, the method comprising the further step to be taken, by each node (A-M), after receiving the messages from all candidate nodes (A-M), creating a list of the candidate nodes that the particular node trusts and their corresponding public keys.

10

7. The method according to the previous claim, **characterised** by further comprising the step of deciding one node (A) within the ad hoc network (201) to act as a server node (A).

15

8. The method according to any of the claims 6-7, **characterised** by further comprising the step of, the server node (A) receiving from each other node (B-M) within the network, a message comprising its respective public key, the respective list of the candidate nodes that the respective node trust and their corresponding public keys.

20

9. The method according to the previous claim, **characterised** by further comprising the step of, the server node (A) *classifying* the at least one candidate node as being a server-trusted node (B, C, D, E, F and I) or as being a server-untrusted node (G, H, J, K, L and M), depending on whether the server node (A) trusts it or not.

25

30

10. The method according to the previous claim, wherein a server-trusted node trusting a server-untrusted node constitutes a so-called Y-node, **characterised**  
5 in that the step a) implies that the server node (A) identifies at least one Y-node required for distributing trust relations between the server node (A) and as many server-untrusted nodes as possible.

11. The method according to the previous claim, **characterised** in step b) further  
10 implying that server node (A) sends a request to the identified Y-nodes (D, H) of distributing said trust relations between server node A and server-untrusted nodes.

12. The method according to the previous claim, **characterised** in step b) further  
15 implying that server node (A) obtains said requested trust relations.

13. The method according to the previous claim, **characterised** in, the step of  
obtaining the trust relations comprising that for each server-untrusted node  
that the Y-node have a trust relation with, the Y-node signs the public key of  
20 the server node (A) and forwards it to the server-untrusted node.

14. The method according to any of the claims 12-13, **characterised** in the step  
of obtaining the trust relations comprising that for each server-untrusted node  
that the Y-node have a trust relation with, the Y-node signs the public key of  
25 the server-untrusted node and forwards it to the server node (A).

15. The method according to any of the claims 12-14, **characterised** by  
comprising the further step of, server node (A), after obtaining said trust  
relation, reclassifying the server-untrusted node with the obtained trust relation  
30 as being a server-trusted node.

16. The method according to any of the claims 12-15, characterised by comprising the further step of, server node (A) sending a signed message comprising the server node's (A) all trusted public keys belonging to trusted candidate nodes within the ad hoc network. (201).

5

17. An ad hoc communication network (106) comprising a set of communication nodes (101, 103-105) whereof

the nodes (101, 103-105) each comprising a receiver and a computer, the computer comprising a processor and a memory,

10

the nodes (101, 103-105) being interconnected with communication links, at least two of the nodes (103-105) are having a mutual trust relation and thus constituting a trust group (102), the trust relations being created with public keys, and

15

at least one additional node (101) being a candidate for joining at least one trust group (102) within the ad hoc network,

**characterised by**

the candidate node (101) having means for requesting if any of the nodes within the trust group (102) have a trust relation with the candidate node (101),

20

the nodes being authorised to and are having means for, distributing trust relations between its trust group(102) and the candidate node (101) that it trusts.

18. The ad hoc communication network (201) according to the previous claim, **characterised** by each node (A-M) having means for creating a list of the candidate nodes that the node trusts and their corresponding public keys, to be stored in the memory.

25

19. The ad hoc communication network according to any of the claims 17-18, **characterised** in that one node (A) within the ad hoc network (201) being a server node (A), capable of administrate distribution of trust relations.

30

20. The ad hoc communication network (201) according to the previous claim,  
characterised by the server node (A) having means for classifying the at least  
one candidate node as being a server-trusted node (B, C, D, E, F and I), or as  
5 being a server-untrusted node (G, H, J, K, L and M), depending on whether  
the server node (A) trusts the candidate node or not.

21. The ad hoc communication network (201) according to the previous claim,  
wherein a server-trusted node trusting a server-untrusted node constitutes a  
10 so-called Y-node characterised by the server node (A) having means for  
identifying at least one Y-node (D, H) required for distributing trust relations  
between the server node A and the server-untrusted nodes.

22. The ad hoc communication network (201) according to the previous claim  
characterised by the server node (A) having means for sending to each of the  
15 identified Y-nodes (D, H),

a request as to which of the server-untrusted nodes (G, H, J and M) the Y-  
node (D, H) has a trust relation with, and

20 a request for distributing trust relations between the server node (A) and  
the requested server-untrusted nodes.

23. The ad hoc communication network according to any of the claims 20-22,  
characterised by the server node (A) having means for distributing obtained  
25 trust relations to the nodes within the ad hoc communication network (201).

24. A computer program product directly loadable into the internal memory of a  
digital computer within a node being a member of an ad hoc communication  
network, comprising software code portions for performing the steps of any  
30 of the claims 1-16 when said product is run on a computer.



25. A computer program product stored on a computer usable medium, comprising readable program for causing a computer, within a node being a member of an ad hoc communication network, to control an execution of the steps of any of the claims 1-16.

## ABSTRACT

The present invention relates to the requirement of security in an ad hoc network.

- 5 More particularly it relates to the problem within ad hoc networks, not having on-line connections to a particular server for getting desired public keys or certificates, required to create trust relations.

Within an ad hoc communication network, some of the nodes have a mutual trust relation to each other, thus constituting a trust group. An additional node within  
10 the network is being a candidate node for joining the trust group. An X-node is identified, being a member of a trust group and having a trust relation with the candidate node. The X-node distributes trust relations between the members of the trust group and the candidate node.

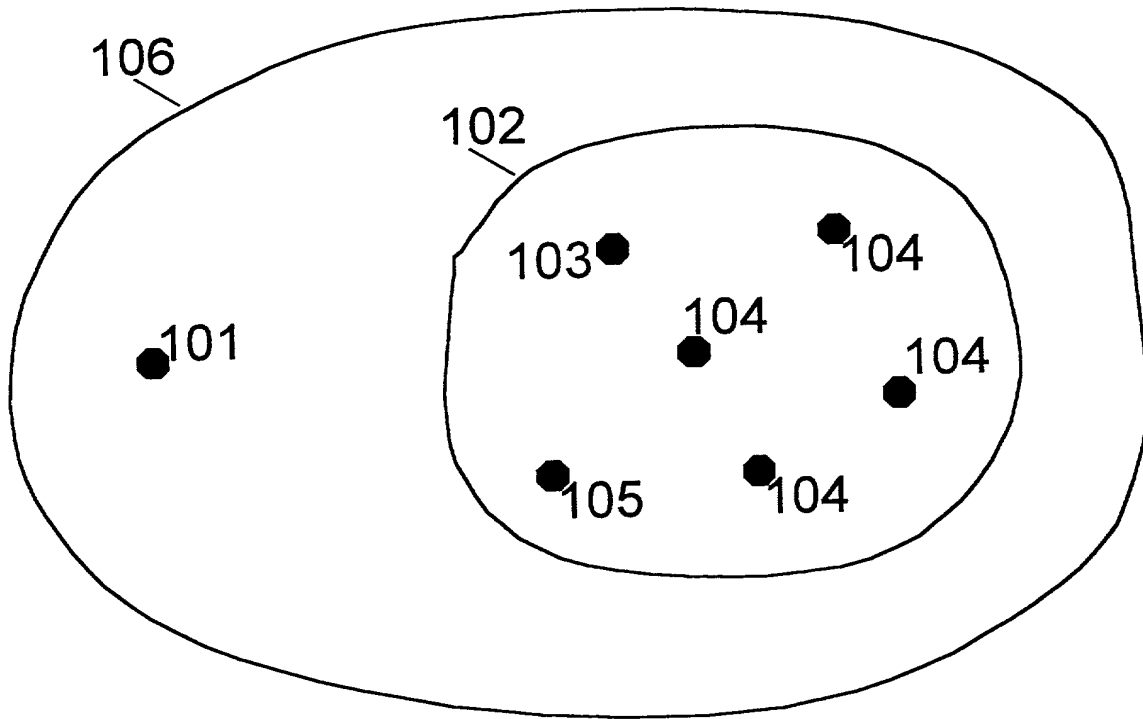


Fig. 1

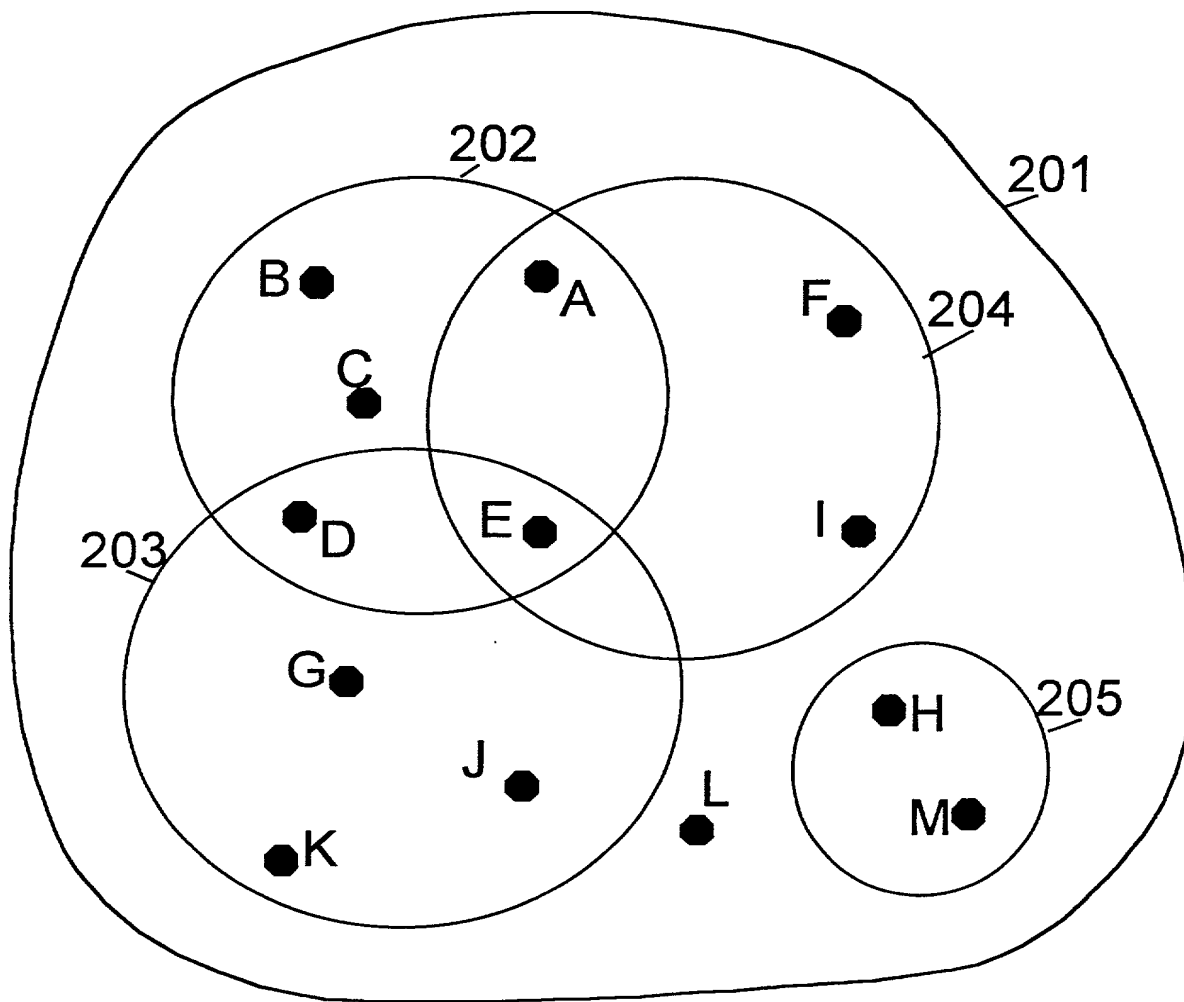


Fig. 2

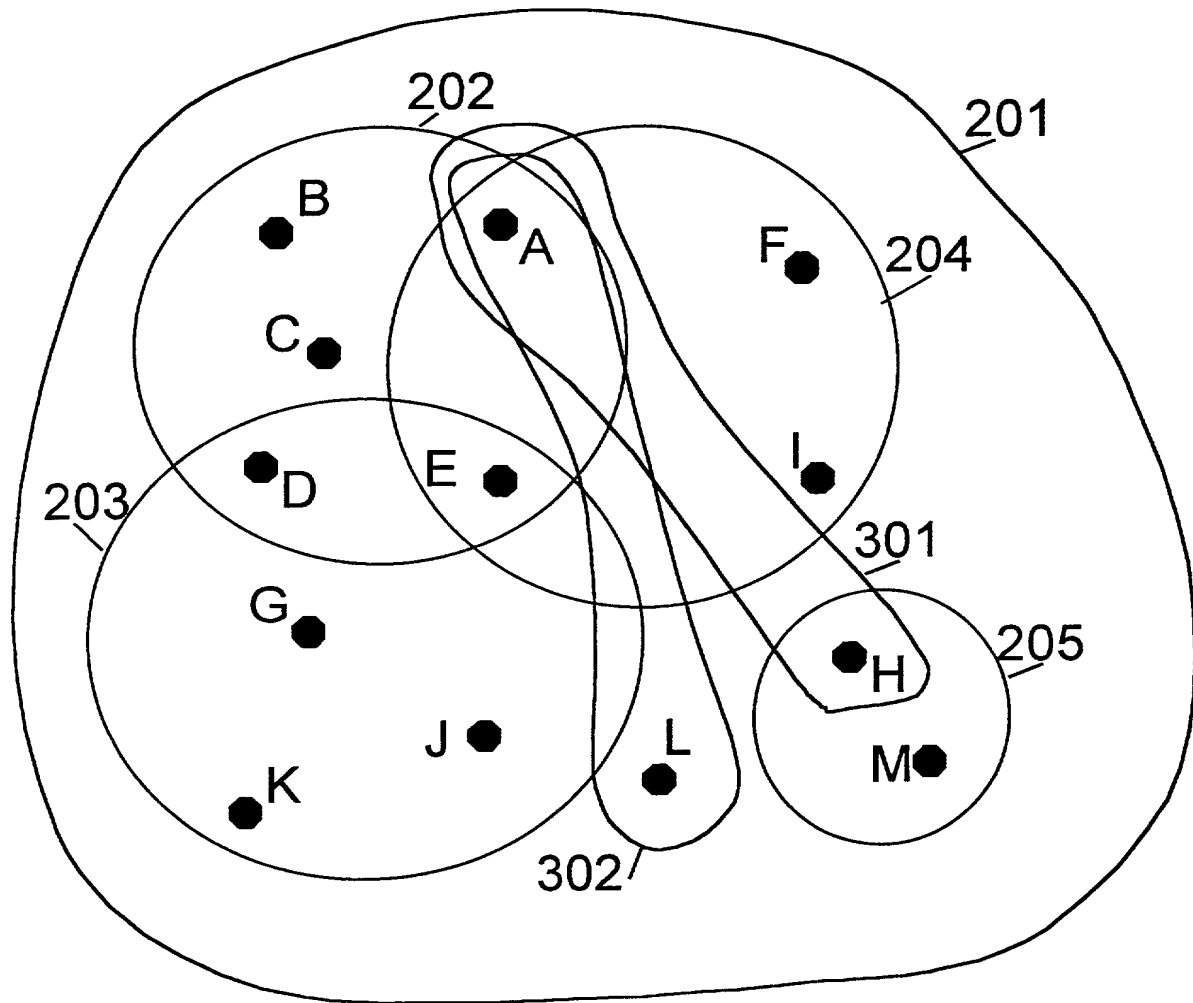


Fig. 3

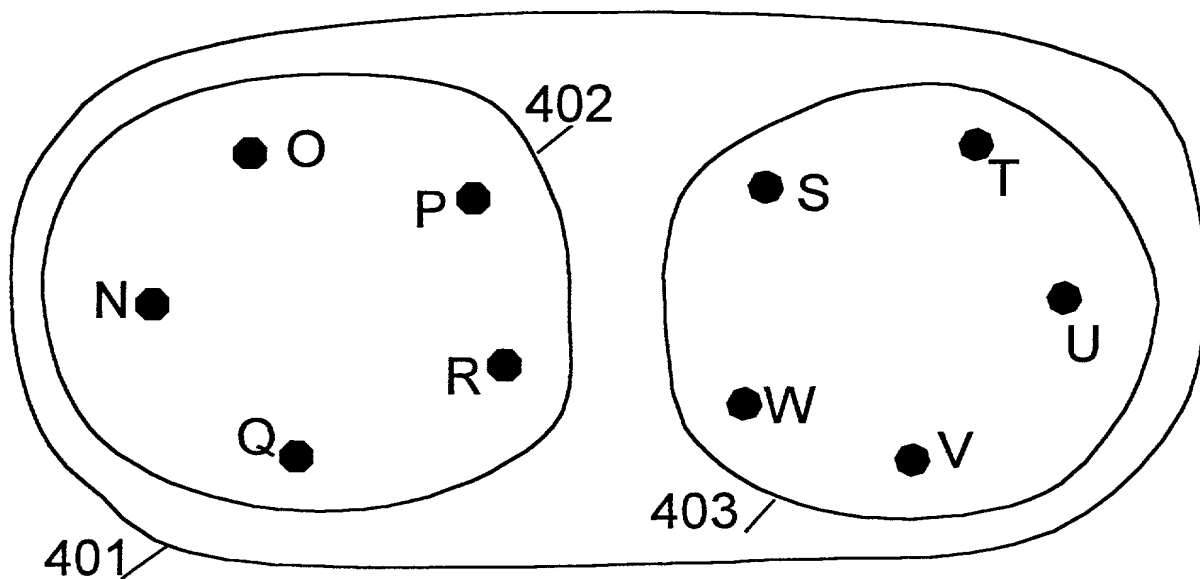


Fig. 4

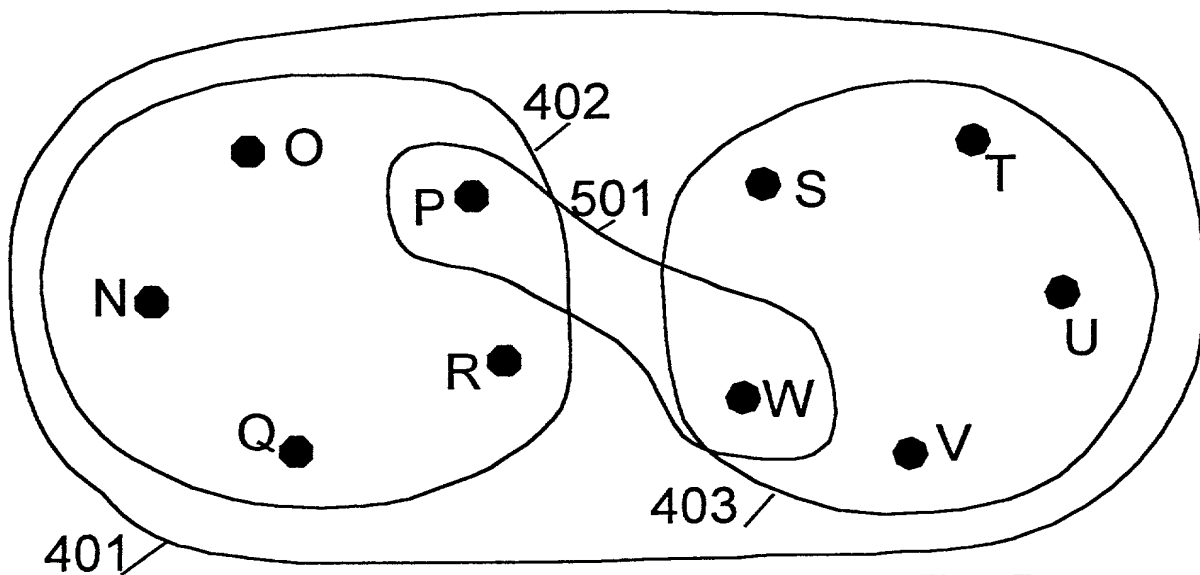


Fig. 5

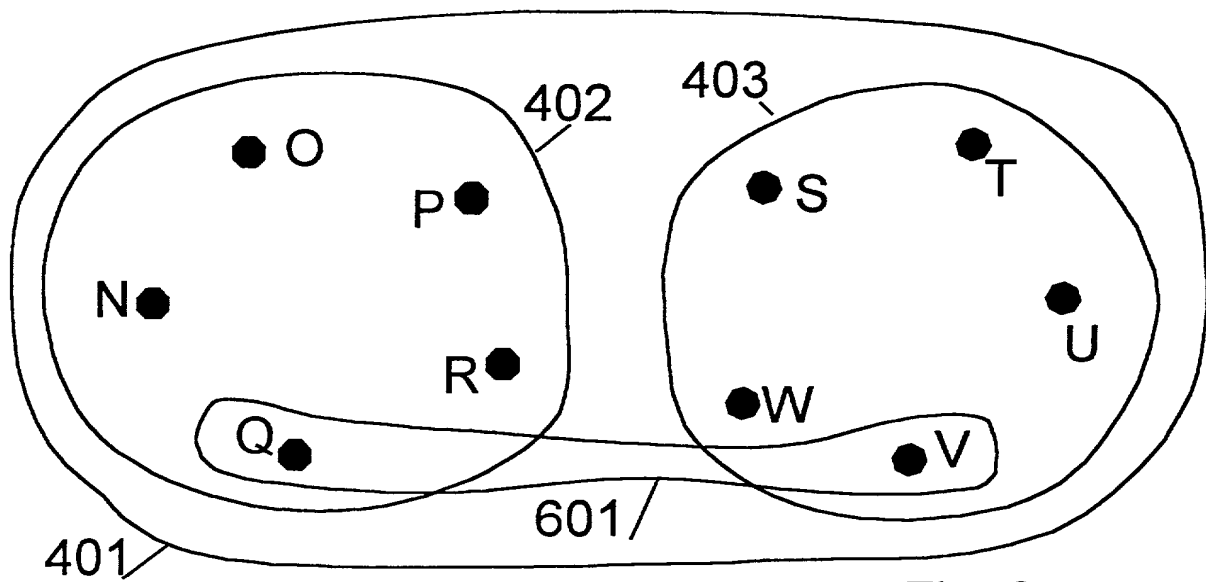


Fig. 6

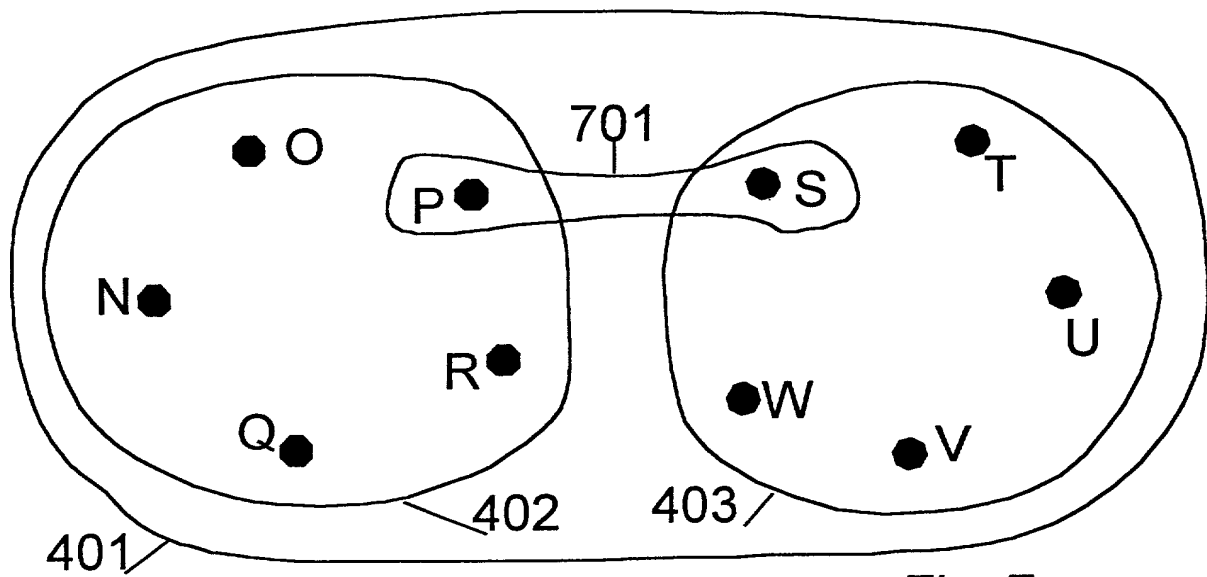


Fig. 7

PATENT APPLICATION

ATTORNEY DOCKET NO.: \_\_\_\_\_

CLIENT REF. NO.: P05139US00

**RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67)**  
**DECLARATION AND POWER OF ATTORNEY**

**FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND ARRANGEMENT IN A COMMUNICATION NETWORK.

the specification of which: (mark only one)

- ☐ (a) is attached hereto.
- ☐ (b) was filed on        as Application Serial No.        and was amended on        (if applicable)
- ☐ (c) was filed as PCT International Application No. PCT/                                 on                                 ,                                  and was amended on                                  (if applicable).
- ☐ (d) was filed on        as Application Serial No.        and was issued a Notice of Allowance on       .
- ☐ (e) was filed on        and bearing attorney docket number

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:



# PRIOR FOREIGN PATENTS

Number	Country	Month/Day/Year Filed	Date first laid- open or	Date patented or	Priority Claimed	
			Published	Granted	Yes	No
99850158.9	EP	10.27.99			X	

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

# PRIOR U.S. OR PCT APPLICATIONS

Application No. (series code/serial no.)	Month/Day/Year Filed	Status(pending, abandoned, patented)

I hereby appoint:

TIMOTHY G ACKERMANN Reg No 44,493  
 THOMAS E ANDERSON, Reg No 37,063  
 BENJAMIN J BAI, Reg No 43,481  
 MICHAEL J BLANKSTEIN Reg No 37,097  
 MARY JO BOLDINGH, Reg No 34,713  
 MARGARET A BOULWARE, Reg No 28,708  
 ARTHUR J BRADY, Reg No 42,356  
 MATTHEW O BRADY Reg No 44,554  
 DANIEL J BURNHAM, Reg No 39,618  
 THOMAS L CANTRELL, Reg No 20,849  
 RONALD B COOLLEY, Reg No 27,187  
 THOMAS L CRISMAN, Reg No 24,846  
 STUART D DWORK, Reg No 31,103  
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 ROGER J FRENCH, Reg No 27,786  
 JANET M GARETTO, Reg No 42,568  
 JOHN C GATZ, Reg No 41,774  
 RUSSELL J GENET, Reg No 42,571

J KEVIN GRAY Reg No 37,141  
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